



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/540,050	03/31/2000	Imran Ahmad	TLC-170F

CONFIRMATION NO. 2451 #6



\*OC000000006976257\*

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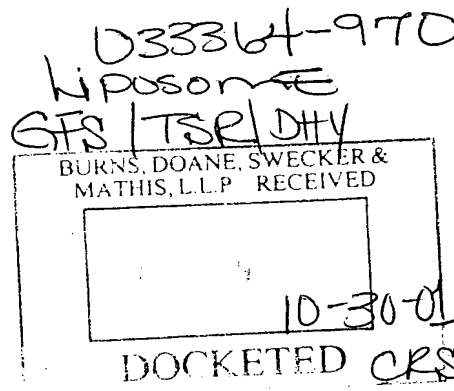
Date Mailed: 10/26/2001

TERESA STANEK REA, ESQ.  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P. O. BOX 1404  
ALEXANDRIA, VA 22313-1404

## NOTICE REGARDING POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/16/2001.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.



*Coralia Williams*

CORALIA N WILLIAMS  
1600 7033083570

ATTORNEY/APPLICANT COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )

ERIC MAYHEW, et al. )

Application No.: 09/540,050 )

Filed: March 31, 2000 )

For: D AND L ETHERLIPID )  
STEREoisomers AND LIPOSOMES )

Group Art Unit: 1611

Examiner: Unassigned

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**REVOCATION AND NEW POWER OF ATTORNEY  
BY ASSIGNEE OF ENTIRE INTEREST**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

As the Assignee of the entire interest in the above-identified application, all powers of attorney previously given are hereby revoked, and Gerald F. Swiss, Reg. No. 30,113; Teresa Stanek Rea, Reg. No. 30,427; Mercedes K. Meyer, Reg. No. 44,939; King L. Wong, Reg. No. 37,500; Ping F. Hwung, Reg. No. 44,164; Kirsten A. Anderson, Reg. No. 38,813; Marilou E. Watson, Reg. No. 42,213; Kathleen M. Lynch, Reg. No. 33,957; James M. Hoch, Reg. No. 35,195; and Jean M. Duvall, Reg. No. 32,731, are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected with the above-identified application. The Assignee has reviewed documentary evidence of the chain of title from the original owner to the Assignee recorded in the U.S. Patent and Trademark Office at Reel 010904, Frame 0276, and certifies that to the best of its knowledge and belief it is the owner of the entire right, title and interest in and to the above-identified application.

Please direct all telephone calls and correspondence to:

**Teresa Stanek Rea, Esq.**  
**BURNS, DOANE, SWECKER & MATHIS, L.L.P.**  
**P.O. Box 1404**  
**Alexandria, Virginia 22313-1404**  
**(650)622-2300**

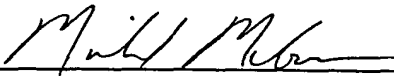


**21839**

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

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Date: August 13, 2001

Signature: 

Name: Michael McGrane

Title: Vice President, General Counsel &  
Secretary

Company: THE LIPOSOME COMPANY

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Applicant or Patentee: The Liposome Company, Inc.  
Serial or Patent No.: \_\_\_\_\_  
Filed or Issued: HEREWITH  
For: D AND L ETHERLIPID STEREOISOMERS AND LIPOSOMES  
Attorney's Docket No.: TLC - 170F

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(d) and 1.27(c) - SMALL BUSINESS CONCERN)**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

THE LIPOSOME COMPANY, INC.  
One Research Way  
Princeton Forrestal Center  
Princeton, NJ 08540

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purpose of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties control or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled D AND L ETHERLIPID STEREOISOMERS AND LIPOSOMES by inventor(s) Eric Mayhew, Imran Ahmad and Andrew Janoff, described in

☒ the specification filed herewith  
☐ application serial no., \_\_\_\_\_ filed \_\_\_\_\_  
☐ patent no., \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under CFR

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1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

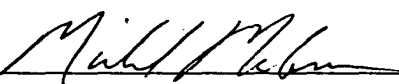
NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT  
ORGANIZATION

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT  
ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Michael McGrane  
TITLE OF PERSON OTHER THAN OWNER Vice President and General Counsel  
ADDRESS OF PERSON SIGNING The Liposome Company, Inc., 1 Research Way,  
Princeton Forrestal Center, Princeton, New Jersey 08540

SIGNATURE  DATE March 31, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

In re application of: Imran Ahmad

Serial No.: 09/540,050

Art Unit: 1611

Filing Date: March 31, 2000

Examiner:

For: D AND L ETHERLIPID STEREOISOMERS AND LIPOSOMES

Docket No. TLC -170F

Hon. Commissioner of Patents  
and Trademarks  
Washington, DC 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to:  
Commissioner of Patents and Trademarks, Washington, D.C. 20231, on June 30, 2000

By: Rosanne Goodman  
Rosanne Goodman

NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

Applicant hereby notifies the Patent and Trademark Office that it is no longer entitled to status as a small entity, and that the claim for small entity status, set forth in the verified statement filed on March 31, 2000 is hereby withdrawn.

Respectfully submitted,

Rosanne Goodman

Rosanne Goodman  
Attorney for Applicants  
Reg. No. 32,534

Date: June 30, 2000

THE LIPOSOME COMPANY, INC.  
One Research Way  
Princeton Forrestal Center  
Princeton, New Jersey 08540  
(609) 452-7060  
Fax: (609) 734-0882

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION AND POWER OF ATTORNEY

COPY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

D AND L ETHERLIPID STEREOISOMERS AND LIPOSOMES

(check one)

     is attached hereto

X was filed on: March 31, 2000

Application Serial No: 09/540,050

and was amended on:                      (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56 (a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Number	Country	Date Filed (mo/day/year)	Priority Claimed (yes or no)
<u>None</u>	<u>                    </u>	<u>                    </u>	<u>                    </u>
<u>                    </u>	<u>                    </u>	<u>                    </u>	<u>                    </u>
<u>                    </u>	<u>                    </u>	<u>                    </u>	<u>                    </u>

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status
<u>09/390,395</u>	<u>09/03/1999</u>	<u>Pending</u>
<u>08/602,669</u>	<u>02/16/1996</u>	<u>5,762,958</u>

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorneys and agent to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Rosanne Goodman  
Reg. No.: 32,534

Russell G. Lindenfedar  
Reg. No.: 39,750

Send Correspondence to:

The Liposome Company, Inc.  
One Research Way  
Princeton Forrestal Center  
Princeton, NJ 08540

Direct Telephone Calls to:

Rosanne Goodman  
(609) 452-7060

Full name of Inventor: Imran Ahmad

First Inventor's  
signature:

Imran Ahmad

Date:

6/2/2000

Residence: Cranbury, State of New Jersey  
Citizenship: Indian  
Post Office Address: 12 Pinehurst Drive  
Cranbury, NJ 08512



COPY

Full name of Second  
joint inventor: Eric Mayhew

Second inventor's  
signature: 

Date: 31 May 00

Residence: Seattle, State of Washington  
Citizenship: Great Britian  
Post Office Address: 3905 West Bertuna Street  
Seattle, WA 98199

Full name of Third  
joint inventor: Andrew Janoff

Third inventor's  
signature: 

Date: 6/1/00

Residence: Yardley, State of Pennsylvania  
Citizenship: United States of America  
Post Office Address: 560 Countess Drive  
Yardley, PA 19067